



36119-100 US1

PATENT/OFFICIAL

3624

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

49  
10/16/03

re Application of

Bettis et al.

Serial No. 09/597,742

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Group Art Unit: 3881

Filed: 19 June 2000

: Examiner: Narayanswamy Subramanian

For: SYSTEM, METHOD AND COMPUTER READABLE MEDIUM  
CONTAINING INSTRUCTIONS FOR EVALUATING AND  
DISSEMINATING INVESTOR PERFORMANCE INFORMATION

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed August 13, 2003 having a 30-day shortened statutory period of response set to expire by September 13, 2003. Applicants request a one-month extension of time to respond (i.e., to and including October 13, 2003) and enclose the appropriate fee in accordance with 37 CFR §1.136(a). The following amendments and remarks are respectfully submitted.

RECEIVED  
OCT 10 2003  
GROUP 3600

ELECTION

Applicant hereby elects Group II (claims 21-39, 128 and 130 drawn to a method and system for use in producing a ranked list of investors according to an evaluation of the investors' performances relating to at least one transaction made by the investors involving investments associated with the investors, comprising the steps of retrieving a list of investors, generating an evaluation list by removing investors failing to meet predetermined criteria from said list, calculating a performance score for each investor listed on said evaluation list indicative of the investor's performance by considering an average historical performance of an investment following a transaction by the investor, a historical consistency of the investor's performances with respect to transactions involving the at least one investment, and the number of transactions made by the investor and calculating, for each investor using said performance scores, a final transaction score indicative of the investor's relative performance with respect to all investors on said evaluation list) for prosecution.